



SECTOR

**CERTIFICATE OF MAILING (37 CFR 1.8)**

Date of Deposit with U.S. Postal Service: August 30, 1999

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail under 37 CFR 1.8 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Julie K. Lyons, Legal Assistant

Name of Person Mailing Paper

Julie K Lyons  
Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Arthur G. Romero  
Serial Number : 09/313,534  
Filed : May 13, 1999  
For : Heterocyclic Amines Having Central Nervous System Activity

Commissioner of Patents and Trademarks  
Washington, DC 20231

RECEIVED  
TECH CENTER 1600/2900  
99 SEP 13 AM 8:15

**TRANSMITTAL OF OATH OR DECLARATION (37 CFR 1.63)  
SUBSEQUENT TO THE FILING OF THE APPLICATION UNDER 37 CFR 1.53(f)**

Sir:

Transmitted herewith in connection with the patent application identified above is:

- ☒ An oath or declaration as required by 37 CFR 1.63;
- ☒ A copy of Form PTO-1533 (Notice to File Missing Parts of Application);
- ☒ This paper is being filed under 37 CFR 1.8 and the required Certificate of Mailing appears above.

The fee now due in connection with this application is calculated as follows:

	Fee
Charge for Deferral of the Submission of Oath or Declaration	\$ 130.00
<b>TOTAL FEES</b>	<b>\$ 130.00</b>

**SPECIFIC DEPOSIT ACCOUNT AUTHORIZATION.** Please charge my Deposit Account No. 21-0718 in the amount of the total filing fee above. Triplicate copies of this sheet are enclosed.

**GENERAL DEPOSIT ACCOUNT AUTHORIZATION.** The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or during the pendency of this application or credit any overpayment to Deposit Account No. 21-0718:

- (1) Any additional filing fees or fees for the presentation of additional claims required under 37 CFR 1.16.
- (2) Any patent application processing fees under 37 CFR 1.17.

**No authorization is given to charge the Issue Fee (37 CFR 1.18).**

- ☐ Please amend the TRANSMITTAL OF APPLICATION PRIOR TO SUBMISSION OF OATH OR DECLARATION AND/OR FILING FEE, submitted with the above-captioned application, to indicate that the original first and sole inventor or original first and joint inventors are the following:

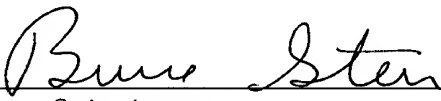
The pertinent information concerning residence, citizenship and post office address is provided in the accompanying declaration or oath.

- ☒ This paper is responsive to a Notice from the PTO dated June 30, 1999 for which the period for response has expired or will expire on August 30, 1999.
- ☐ The Notice of Missing Parts from the PTO has not been received.

**EXTENSION OF TIME.** In the event this paper is not filed prior to the time set for response, applicant(s) hereby petition for an extension of the period for filing the oath or declaration or paying the deferred filing fee to the date of filing this paper, and hereby authorize the Commissioner to charge the extension fee as may be required by 37 CFR 1.17, to Deposit Account No. **21-0718**.

If for any reason the extension requested above is insufficient to extend this period to the date of this paper, applicant(s) hereby petition for the revival of the above-captioned application as having been unintentionally abandoned and authorize the Commissioner to charge the required fees under 37 CFR 1.17 to Deposit Account No. **21-0718**.

Respectfully submitted,

  
\_\_\_\_\_  
Bruce Stein, Attorney  
Registration No. 27,231

Date: 99-8-30

Pharmacia & Upjohn Company  
Global Intellectual Property  
301 Henrietta Street  
Kalamazoo, Michigan 49001

Telephone No. (616) 833-1127 or (616) 833-9500  
Telefax No. (616) 833-8897 or (616) 833-2316

Attachments: Oath or declaration  
PTO Form 1533 (Notice of Missing Parts)  
Form 53F (in triplicate)



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
07/31/1999	07/31/1999	ROBERTO	A. ANDERSON

NZ12/0630

BRUCE STEIN  
INTELLECTUAL PROPERTY LEGAL SERVICES  
PHARMACIA & UPJOHN COMPANY  
KALAMAZOO MI 49001

NOT ASSIGNED

RECEIVED

DATE MAILED:

06/30/99

JUL 13 1999

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

*Filing Date Granted* PHARMACIA & UPJOHN  
INTELLECTUAL PROPERTY LAW

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a*  
☒ large entity ☐ small entity (verified statement filed), is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

*Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).*

- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.  
*Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

- ☒ 3. The oath or declaration:

- ☒ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

*An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.*

- ☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

*An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

- ☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(f)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application does not comply with the Sequence Rules:  
*See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."*

- ☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

*Timothy Wilco*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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